


Please type a plus sign (+) inside this box → ☐PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB Use 1 0031
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

+

| | | |
|---|------------------------|-----------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/854,714 |
| | Filing Date | May 14, 2001 |
| | First Named Inventor | Kia Silverbrook |
| | Group Art Unit | 2853 |
| | Examiner Name | |
| Total Number of Pages in This Submission | Attorney Docket Number | YU105US |

| ENCLOSURES (check all that apply) | | |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Response | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Apparatus, Method, Process, etc.) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition Routing Slip (P10/SB/69) and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Additional Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Small Entity Statement | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Request for Refund | |
| <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application | Remarks | I respectfully request the Examiner to contact me if any further fees are required: |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | Email: kia@silverbrook.com.au Telephone: 61-2-9818-6633 Facsimile: 61-2-9818-6711 |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--|
| Firm or Individual name | Kia Silverbrook c/- Silverbrook Research Pty. Ltd. 393 Darling Street, Balmain NSW 2041, Australia |
| Signature |  |
| Date | July 24, 2001 |

| CERTIFICATE OF MAILING | | |
|---|----------------------|----------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/> | | |
| Typed or printed name | <input type="text"/> | Date |
| Signature | <input type="text"/> | <input type="text"/> |

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$75

Complete If Known

| | |
|----------------------|-----------------|
| Application Number | 09/854,714 |
| Filing Date | May 14, 2001 |
| First Named Inventor | Kia Silverbrook |
| Examiner Name | |
| Group Art Unit | 2853 |
| Attorney Docket No. | YU106US |

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☐ Check ☒ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code Fee Code Fee Description

| | | | | | |
|-----|-----|-----|-----|------------------------|--|
| 101 | 710 | 201 | 335 | Utility filing fee | |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

Fee Paid

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

| | | | | | | | |
|--------------------|------|---|--------------|---|----------------|---|----------|
| Total Claims | 20** | - | Extra Claims | X | Fee from Table | = | Fee Paid |
| Independent Claims | 3** | - | Extra Claims | X | Fee from Table | = | Fee Paid |
| Multiple Dependent | | - | Extra Claims | X | Fee from Table | = | Fee Paid |

Large Entity Small Entity

Fee Code Fee Code Fee Description

| | | | | | |
|-----|-----|-----|-----|--|--|
| 103 | 18 | 203 | 0 | Claims in excess of 20 | |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 | |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid | |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code Fee Code Fee Description

| | | | | | |
|-----|--------|-----|--------|--|--|
| 105 | 130 | 205 | 85 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 138 | 130 | 138 | 130 | Non-English specification | |
| 147 | 2,620 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 118 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 416 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 110 | 310 | 210 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to Institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 60 | Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Sumi | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 160 | 900 | 160 | 900 | Request for expedited examination of a design application | |

Fee Paid

Other fee (specify) Response to Missing Parts

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

75

SUBMITTED BY

Name (Print/Type)

Kia Silverbrook

Registration No. (Attorney/Agent)

Complete (if applicable)

Telephone

+61 2-9818-6633

Signature

Date

July 24, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/854,714 | 05/14/2001 | Kia Silverbrook | YU105US |

CONFIRMATION NO. 9827

24011
SILVERBROOK RESEARCH PTY LTD
393 DARLING STREET
BALMAIN, 2041
AUSTRALIA

FORMALITIES LETTER



OC00000006246634

Date Mailed: 07/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is insufficient.
Applicant must submit \$ 10 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 75.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/12/2001 SSANDARA 00000005 09854714

01 FC:201

10.00 OP

02 FC:205

65.00 OP

SILVERBROOK RESEARCH Pty Ltd

393 Darling Street Balmain NSW 2041 Australia

PO Box 207 Balmain NSW 2041 Australia

Phone: +61 2 9818 6633 Fax: + 61 2 9818 6711

Email: info@silverbrook.com.au

ACN 066 673 671

July 24, 2001

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
WASHINGTON, DC 20231

BY FACSIMILE
(703) 308 7751

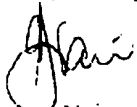
Attention: Office of Initial Patent Examination
Customer Service Centre

Dear Sirs,

United States Patent Application Serial Number 09/854,714**Inventors: Kia Silverbrook and Greg McAvoy****Assignee: SILVERBROOK RESEARCH PTY LTD****Title of Invention: Ink Jet Fabrication Method****Docket No: Y11105US**

We refer to the Notice To File Missing Parts of Nonprovisional Application received from your Office in respect of the above application and attach herewith the necessary fees.

Respectfully yours



Anna Nair

Assistant to Kia Silverbrook

RECEIVED
SEP 12 2001
OIFE/JCWS